



## DECLARATION

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **PROBES FOR A GAS PHASE ION SPECTROMETER** the specification of which \_\_\_\_\_ is attached hereto or X was filed on April 27, 2000 as Application No. 09/560,715 and was amended on \_\_\_\_\_ (if applicable).

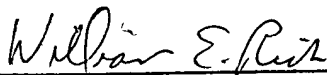
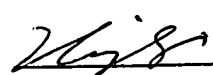

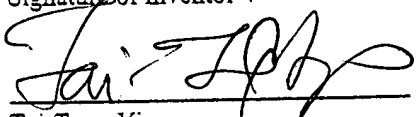
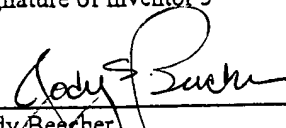
I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

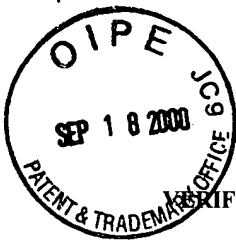
Application No.	Filing Date
60/131,652	04/29/99

Full Name of Inventor 1:	Last Name: <b>RICH</b>	First Name: <b>WILLIAM</b>	Middle Name or Initial: <b>E.</b>
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Post Office Address:	Post Office Address: <b>840 Corriente Point Drive</b>	City: <b>Redwood Shores</b>	State/Country: <b>California</b> Postal Code: <b>94565</b>
Full Name of Inventor 2:	Last Name: <b>UM</b>	First Name: <b>PIL-JE</b>	Middle Name or Initial:
Residence & Citizenship:	City: <b>Daly City</b>	State/Foreign Country: <b>California</b>	Country of Citizenship: <b>Korea</b>
Post Office Address:	Post Office Address: <b>385 Mountain View Drive, #4</b>	City: <b>Daly City</b>	State/Country: <b>California</b> Postal Code: <b>94014</b>
Full Name of Inventor 3:	Last Name: <b>VOIVODOV</b>	First Name: <b>KAMEN</b>	Middle Name or Initial:
Residence & Citizenship:	City: <b>Hayward</b>	State/Foreign Country: <b>California</b>	Country of Citizenship: <b>Bulgaria</b> <i>USA KV 08/28/00</i>
Post Office Address:	Post Office Address: <b>3382 Pine Wood Court</b>	City: <b>Hayward</b>	State/Country: <b>California</b> Postal Code: <b>94542</b>
Full Name of Inventor 4:	Last Name: <b>YIP</b>	First Name: <b>TAI-TUNG</b>	Middle Name or Initial:
Residence & Citizenship:	City: <b>Cupertino</b>	State/Foreign Country: <b>California</b>	Country of Citizenship: <b>Hong Kong</b>
Post Office Address:	Post Office Address: <b>7515 Kingsbury Court</b>	City: <b>Cupertino</b>	State/Country: <b>California</b> Postal Code: <b>95014</b>
Full Name of Inventor 5:	Last Name: <b>BEECHER</b>	First Name: <b>JODY</b>	Middle Name or Initial:
Residence & Citizenship:	City: <b>San Jose</b>	State/Foreign Country: <b>California</b>	Country of Citizenship: <b>United States</b>
Post Office Address:	Post Office Address: <b>1919 Kirkland Avenue</b>	City: <b>San Jose</b>	State/Country: <b>California</b> Postal Code: <b>95125</b>

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1  William E. Rich	Signature of Inventor 2  Pil-Je Um	Signature of Inventor 3  Kamen Voivodov
Date	Date	Date
Signature of Inventor 4  Tai-Tung Yip	Signature of Inventor 5  Jody Beecher	
Date 6/19/00	Date 6-19-00	

SF 1091101 v1



Atty. Docket No. 016866-002810

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(c)) - SMALL BUSINESS CONCERN**

Applicant or Patentee: William E. Rich, Pil-Je Um, Kamen Voivodov, Tai-Tung Yip and Jody Beecher

Application or Patent No.: 09/560,715

Filed or Issued: April 27, 2000

Title: PROBES FOR A GAS PHASE ION SPECTROMETER

I hereby declare that I am:

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the owner of the small business concern identified below:  
an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Small Business Concern: Ciphergen Biosystems, Inc.

Address of Small Business Concern: 6611 Dumbarton Circle, Fremont, California 94555

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled **PROBES FOR A GAS PHASE ION SPECTROMETER** by inventor(s) **William E. Rich, Pil-Je Um, Kamen Voivodov, Tai-Tung Yip and Jody Beecher** described in:

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the specification filed herewith.  
Application No. 09/560,715, filed 04/27/00.  
Patent No. \_\_\_\_\_, issued \_\_\_\_\_.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Name \_\_\_\_\_  
Address \_\_\_\_\_

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Name \_\_\_\_\_  
Address \_\_\_\_\_

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: John Storella

Title of Person if Other than Owner: V.P. for Intellectual Property

Address of Person Signing: 6611 Dumbarton Circle, Fremont, California 94555

Signature \_\_\_\_\_

Date \_\_\_\_\_

9/7/00